

CERTIFICATION UNDER 37 CFR 1.8

I hereby certify that this document is being deposited with the United States Postal Service on this date 11-20-2002 in an envelope as FIRST CLASS MAIL addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Patricia Thompson
(Type or print name of person mailing paper)
Patricia Thompson
(Signature of person mailing paper)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Belliveau :
Serial No. 09/716,713 : Group Art Unit: 2875
Filed: 11/20/2000 : Examiner: Ton, Anabel

Issued Patent No. 6,474,837 B1

Patent Issue Date: November 5, 2002

Correct Title: LIGHTING DEVICE WITH BEAM :
ALTERING MECHANISM INCORPORATING A
PLURALITY OF LIGHT SOURCES

Certificate

DEC 02 2002

of Correction

Request Under 35 U.S.C. 254 and under 37 C.F.R. 1.322 for a
Corrected Patent or if a Corrected Patent can not be provided, in the alternative for a
Certificate of Correction based on PTO mistake

Re: Request for Corrected Patent or in the alternative for Certificate of Correction
Hon. Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Dear Sir or Madam:

This is a request for a corrected patent for the above U.S. Patent. If a corrected patent cannot be granted, then this is a request in the alternative for a certificate of

correction. The following correction needs to be made:

The title should be amended as follows:

(marked up version)

- - LIGHTING DEVICE WITH BEAM ALTERING MECHANISM INCORPORATING A
PLURALITY OF LIGHT SOURCES [SOURCES]- -

(clean version after amendment)

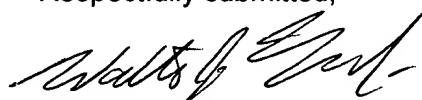
- - LIGHTING DEVICE WITH BEAM ALTERING MECHANISM INCORPORATING A
PLURALITY OF LIGHT SOURCES - -

Remarks

The error in the title was the result of a mistake by the United States Patent and Trademark Office. The correct title was shown in the issue fee transmittal (Exh. A), in the notice of allowance and issue fee due document (Exh. B), and in the filing receipt (Exh. C).

The patentee would particularly appreciate a corrected patent. The patentee is a private inventor who has a portfolio of patents. When one of those patents has an incorrect title shown, it is damaging to the patent's value. It is important that each of his patents includes the correct title, so that any entities interested in the patents will know what the patents are for. The best way to cure this problem is to issue a corrected patent.

Respectfully submitted,



Walter J. Tencza Jr.
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10 Station Place
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PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Box ISSUE FEE
Commissioner for Patents
Washington, D.C. 20231
Fax (703)746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

27550

7590

06/20/2002

WALTER J. TENCZA JR.
10 STATION PLACE, SUITE 3
METUCHEN, NJ 08840



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.

Patricia Thompson	(Depositor's name)
Patricia Thompson	(Signature)
July 8, 2002	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/716,713	11/20/2000	Richard S. Belliveau		6793

TITLE OF INVENTION: LIGHTING DEVICE WITH BEAM ALTERING MECHANISM INCORPORATING A PLURALITY OF LIGHT SOURCES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$640	\$0	\$640	09/20/2002

EXAMINER	ART UNIT	CLASS-SUBCLASS
TON, ANABEL	2875	362-231000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. WALTER J. TENCZA, JR.

2. _____

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent)

☐ individual ☐ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

4b. Payment of Fee(s):

☒ Issue Fee

☐ Publication Fee

☐ Advance Order - # of Copies _____

☒ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).

Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

Walter J. Tencza, Jr. 7/8/02
NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

"Exh. A"



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

27550 7590 06/20/2002

WALTER J, TENCZA JR.
10 STATION PLACE, SUITE 3
METUCHEN, NJ 08840



EXAMINER

TON, ANABEL

ART UNIT

CLASS-SUBCLASS

2875

362-231000

DATE MAILED: 06/20/2002

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/716,713	11/20/2000	Richard S. Belliveau		6793

TITLE OF INVENTION: LIGHTING DEVICE WITH BEAM ALTERING MECHANISM INCORPORATING A PLURALITY OF LIGHT SOURCES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$640	\$0	\$640	09/20/2002

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. **PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN **THREE MONTHS** FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. **THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

B. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status.
See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

"Gxh, B"



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/716,713	11/20/2000	2875	454		12	31	2

CONFIRMATION NO. 6793

FILING RECEIPT



OC000000005818156

Walter J Tencza Jr Esq
Suite 3 10 Station Place
Metuchen, NJ 08840

Date Mailed: 03/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Richard S. Belliveau, Austin, TX;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/01/2001

Projected Publication Date:

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Lighting device with beam altering mechanism incorporating a plurality of light sources

Preliminary Class

362

6
Exh. C
4

UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF CORRECTION

PATENT NO. : 6,474,837 *B1*
DATED : November 6, 2002
INVENTOR(S) : Belliveau

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby

Corrected as shown below:

Title Page, Item(54)

The title should be amended as follows:

- - LIGHTING DEVICE WITH BEAM ALTERING MECHANISM INCORPORATING A
PLURALITY OF LIGHT SOURCES [SOURCES]- -

Title should read

- - LIGHTING DEVICE WITH BEAM ALTERING MECHANISM INCORPORATING A
PLURALITY OF LIGHT SOURCES - -

C